

Lecture 10: Filter Types & Filter Design

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EE423: Communication Electronics

Filter Types

- Four main filter types:
 - Low-Pass Filter (LPF).
 - High-Pass Filter (HPF).
 - Band-Pass Filter (BPF).
 - Band-Stop Filter (BSF) / Notch Filter.
- Each one of these filters can be:
 - Passive Filter (uses passive components).
 - Active Filter (Amplifier allows gain > 1).

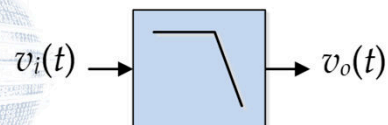
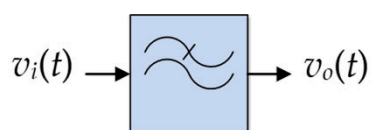
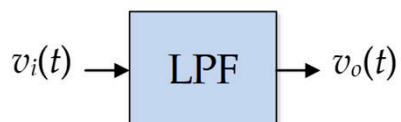
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Low-Pass Filter (LPF)

- Symbol:



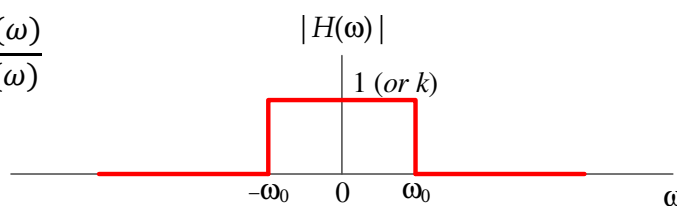
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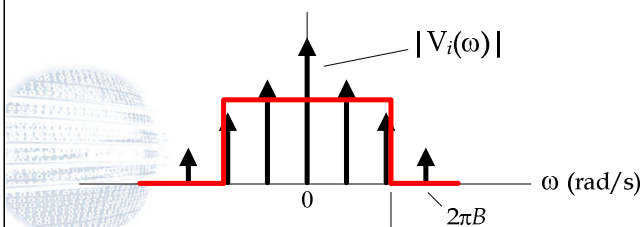
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Frequency Response Function

$$H(\omega) = \frac{V_o(\omega)}{V_i(\omega)}$$



$$|V_o(\omega)| = |H(\omega)| \times |V_i(\omega)|$$



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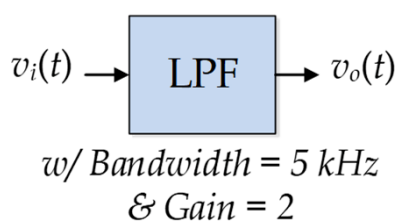
$2\pi B_{LPF}$ Electrical Engineering Department, The University of Jordan

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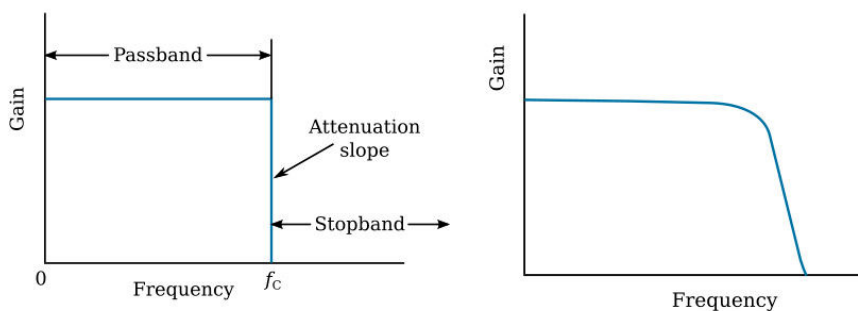
Characteristics/Specifications

- Always *centered* at 0 rad/s.
- *Bandwidth* =
Cutoff frequency =
Corner frequency =
-3 dB frequency =
 ω_0 rad/s

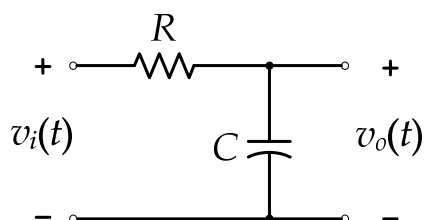
- *Gain* = k .



Ideal vs. Practical Filters



Example: Passive First-Order LPF



$$\text{Gain} = 1$$

$$\omega_0 = \frac{1}{RC} \text{ rad/s}$$

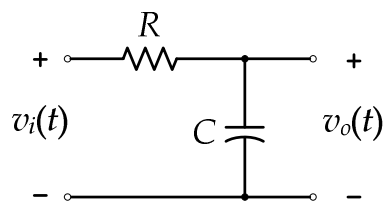
$$B_{LPF} = f_{-3 \text{ dB}} = f_0 = \frac{1}{2\pi RC} \text{ Hz}$$

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Analysis: First-Order RC Circuit



$$H(\omega) = \frac{V_o(\omega)}{V_i(\omega)}$$

$$V_o(\omega) = \frac{Z_C(\omega)}{Z_R(\omega) + Z_C(\omega)} V_i(\omega)$$

- Neglect input impedance.
- Neglect output impedance.
- Use voltage divider.
- Common form:

$$H(s) = \frac{1}{1 + sRC}$$

$$\frac{V_o(\omega)}{V_i(\omega)} = \frac{1}{R + \frac{1}{j\omega C}}$$

$$H(\omega) = \frac{1}{1 + j\omega RC}$$

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Normalization

$$\mathbf{H}(\omega) = \frac{1}{1 + j\omega RC} = \frac{1}{1 + \frac{j\omega}{\left(\frac{1}{RC}\right)}} = \frac{1}{1 + j\frac{\omega}{\omega_0}}$$

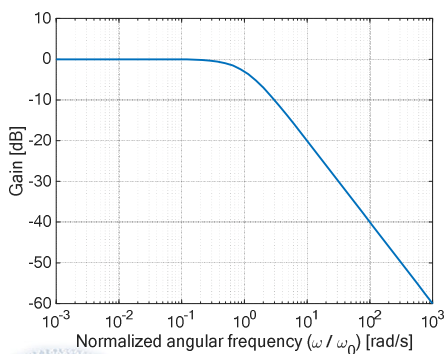
$$\mathbf{H}(s) = \frac{1}{RC s + 1} = \frac{1}{\frac{s}{\left(\frac{1}{RC}\right)} + 1} = \frac{1}{\frac{s}{\omega_0} + 1}$$

$$\mathbf{H}(s) = \frac{1}{(s + 1)}$$

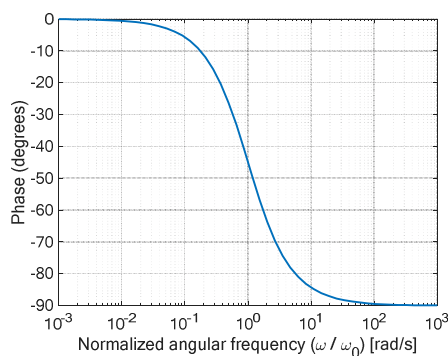
$$\mathbf{H}(s) = \frac{1}{(s + 1)}$$

Assuming $\omega_0 = 1$ rad/s, or anything while using normalized \tilde{s} (i.e., $\frac{\omega}{\omega_0}$ instead of ω).

Frequency Response Function

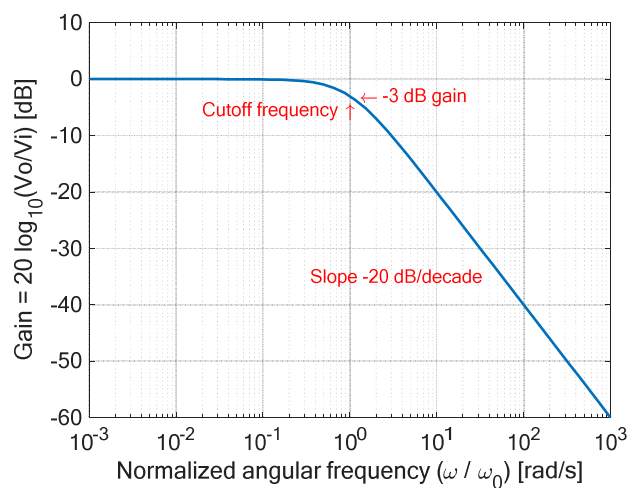


$$|\mathbf{H}(\omega)| = \frac{1}{\sqrt{1 + \left(\frac{\omega}{\omega_0}\right)^2}} ; \quad \omega_0 = \frac{1}{RC}$$



$$\angle \mathbf{H}(\omega) = -\tan^{-1}\left(\frac{\omega}{\omega_0}\right)$$

Magnitude Response



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Reminder: Using dB

$$\text{Gain} = \frac{\text{Output Power}}{\text{Input Power}} = \frac{P_o}{P_i} \quad (\text{unitless})$$

$$\text{Gain} = 10 \times \log_{10} \left(\frac{P_o}{P_i} \right) \quad (\text{dB})$$

$$\frac{P_2}{P_1} [\text{dB}] = 10 \times \log_{10} \left(\frac{P_2}{P_1} [\text{unitless}] \right) = 10 \times \log_{10} \left(\left(\frac{V_2}{V_1} \right)^2 [\text{unitless}] \right)$$

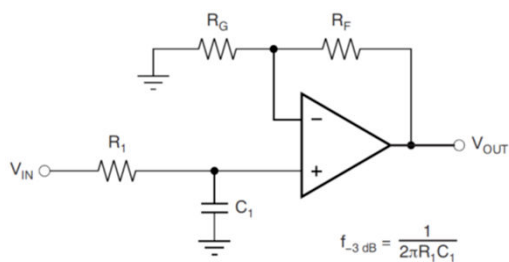
$$\frac{V_2}{V_1} [\text{dB}] = 20 \times \log_{10} \left(\frac{V_2}{V_1} [\text{unitless}] \right)$$

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Example: Active First-Order LPF



- Active Filter = Two stages = Passive Filter followed by Amplifier

$$f_{-3\text{ dB}} = \frac{1}{2\pi R_1 C_1}$$

$$H(s) = \left(1 + \frac{R_F}{R_G}\right) \left(\frac{1}{1 + s R_1 C_1}\right)$$

$$B_{LPF} = f_{-3\text{ dB}} = f_0 = \frac{1}{2\pi R_1 C_1}$$

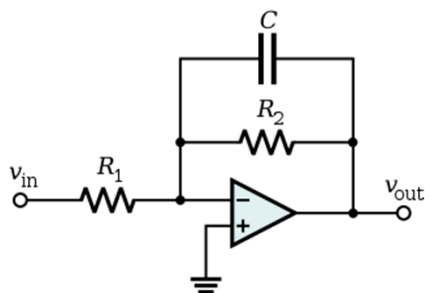
$$\text{Gain} = 1 + \frac{R_F}{R_G}$$

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Another Example Active LPF



- Active Filter = Passive R and C components *with* Amplifier

$$H(s) = \left(\frac{-R_2}{R_1}\right) \left(\frac{1}{1 + s R_2 C}\right)$$

$$B_{LPF} = f_{-3\text{ dB}} = \frac{1}{2\pi R_2 C}$$

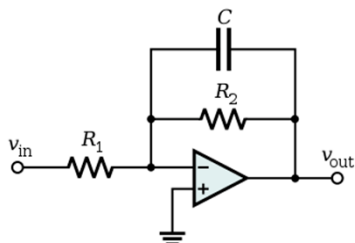
$$\text{Gain} = \frac{-R_2}{R_1}$$

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Analysis: LPF



- Neglect small input current to Op-Amp terminals.
- Voltage difference between Op-Amp input terminals is small, assumed to be zero.
- Use KCL.

$$\frac{V_o(\omega) - 0}{Z_{eq}(\omega)} + \frac{V_i(\omega) - 0}{Z_{R1}(\omega)} = 0$$

$$Z_{R1}(\omega) = R_1$$

$$Z_{eq}(\omega) = Z_{R2}(\omega) \parallel Z_C(\omega)$$

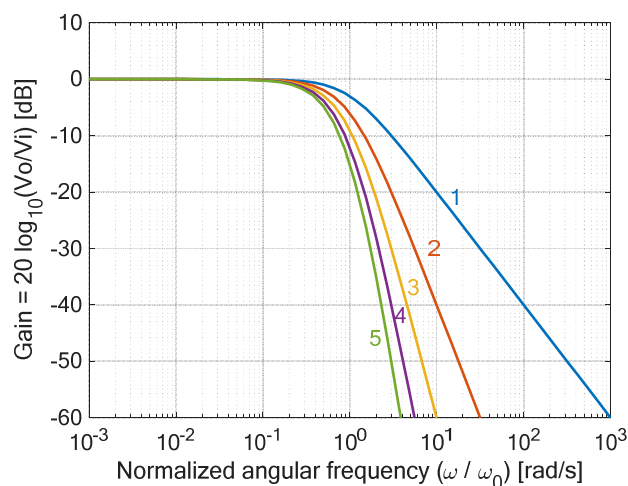
$$Z_{eq}(\omega) = \frac{R_2 \times \frac{1}{j\omega C}}{R_2 + \frac{1}{j\omega C}} = \frac{R_2}{1 + j\omega R_2 C}$$

$$\frac{V_o(\omega)}{\frac{R_2}{1 + j\omega R_2 C}} = -\frac{V_i(\omega)}{R_1}$$

$$H(\omega) = \frac{V_o(\omega)}{V_i(\omega)} = \frac{R_2}{-R_1(1 + j\omega R_2 C)}$$

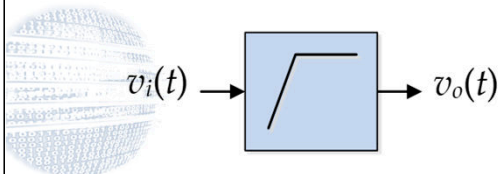
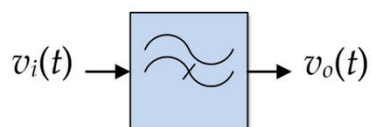
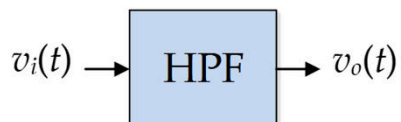
$$H(\omega) = \left(\frac{-R_2}{R_1}\right) \left(\frac{1}{1 + j\omega R_2 C}\right)$$

Improving Response (See Ideal)!



High-Pass Filter (HPF)

- Symbol:



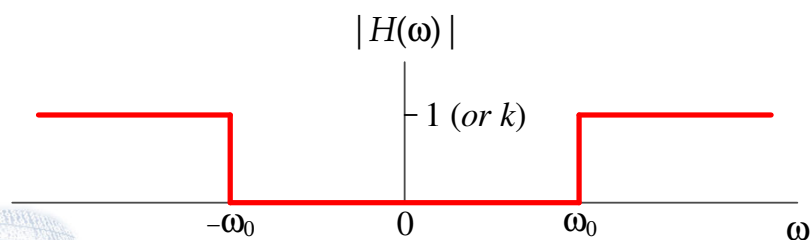
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Frequency Response Function

$$H(\omega) = \frac{V_o(\omega)}{V_i(\omega)}$$



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Characteristics

- Cutoff frequency =
Corner frequency =
 ω_0 rad/s.
- Gain = k.
- No *bandwidth* defined

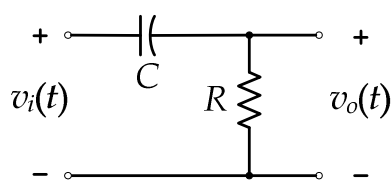


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Example Circuit: First-order HPF



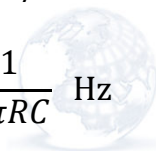
$$\text{Gain} = 1$$

Homework

$$H(s) = \frac{s RC}{1 + s RC}$$

$$\omega_0 = \frac{1}{RC} \text{ rad/s}$$

$$f_{-3 \text{ dB}} = f_0 = \frac{1}{2\pi RC} \text{ Hz}$$



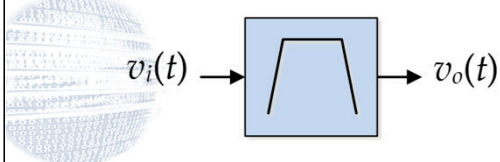
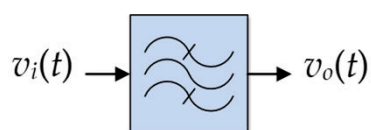
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Band-Pass Filter (BPF)

- Symbol:



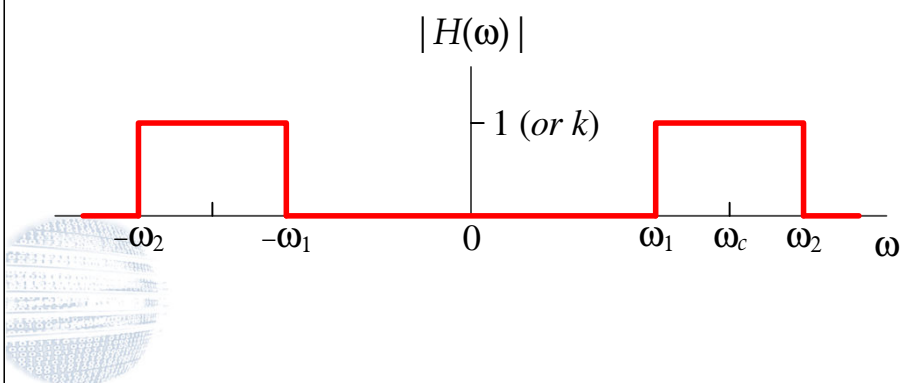
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Frequency Response Function

$$H(\omega) = \frac{V_o(\omega)}{V_i(\omega)}$$



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Characteristics/Specifications

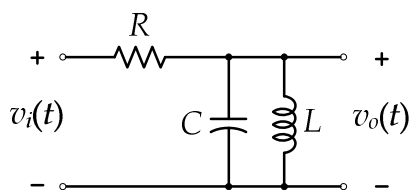
- Centered around center frequency ω_c rad/s.
- Bandwidth of filter = $\omega_2 - \omega_1$ rad/s
- Gain = k.



ω / Bandwidth = 80 kHz
Center Frequency = 100 MHz
& Gain = 1



Example Circuit: LC Tank



$$f_c = f_{res} = \frac{1}{2\pi\sqrt{LC}} \text{ Hz}$$

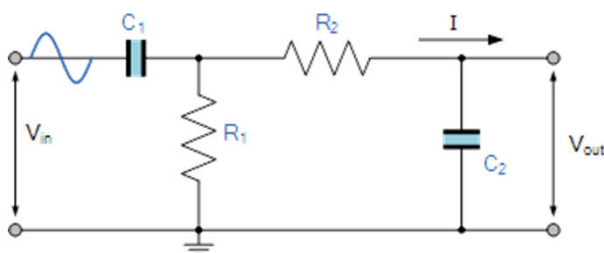
$$B_{BPF} = \Delta f = \frac{R}{2\pi L} \text{ Hz}$$

$$\text{Gain} = 1$$



Cascading: HPF & LPF is BPF

$$H(s) = \frac{V_o}{V_i} = \frac{V_o}{V_x} \times \frac{V_x}{V_i} \quad H(s) = H_1(s) \times H_2(s)$$



$$\omega_{0,\text{LPF}} > \omega_{0,\text{HPF}}$$

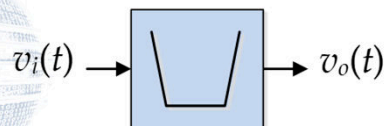
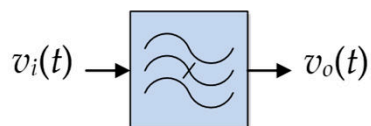
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Band-Stop Filter

- Symbol:



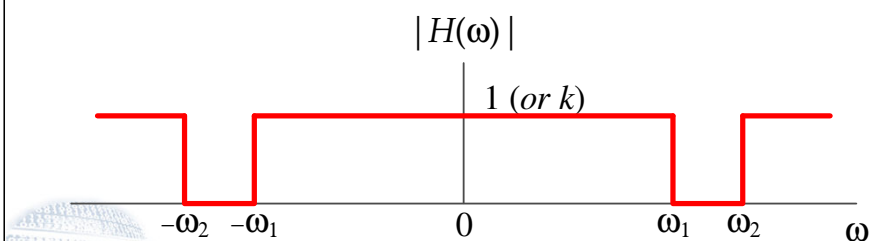
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Frequency Response Function

$$H(\omega) = \frac{V_o(\omega)}{V_i(\omega)}$$

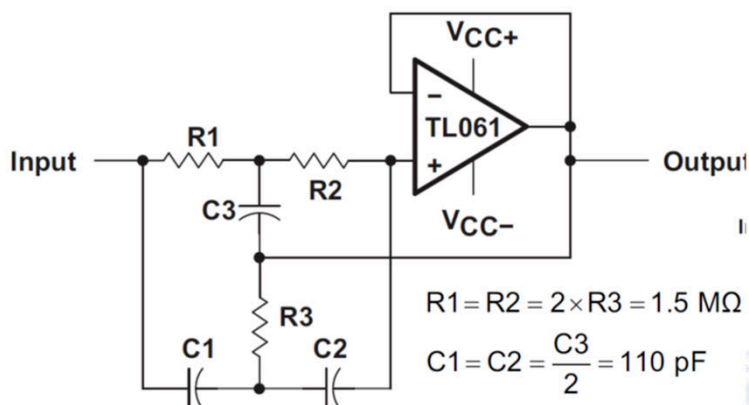


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Example: Twin T Active Notch Filter



$$R1 = R2 = 2 \times R3 = 1.5 \text{ M}\Omega$$

$$C1 = C2 = \frac{C3}{2} = 110 \text{ pF}$$

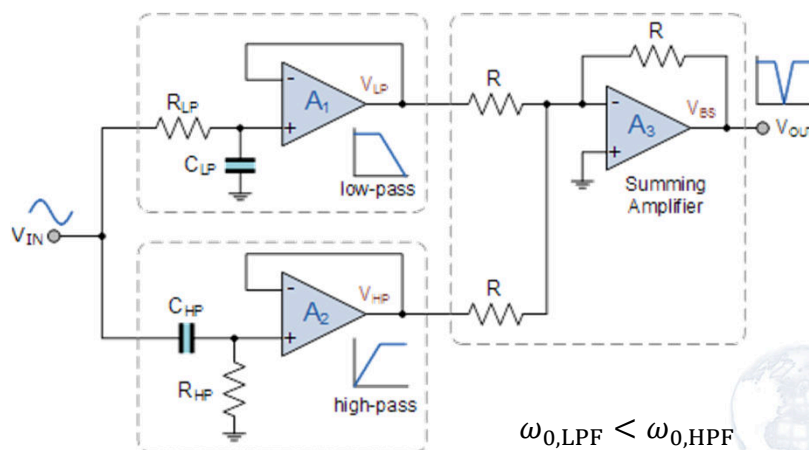
$$f_o = \frac{1}{2\pi \times R1 \times C1} = 1 \text{ kHz}$$

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Another Notch: Add *not* Cascade

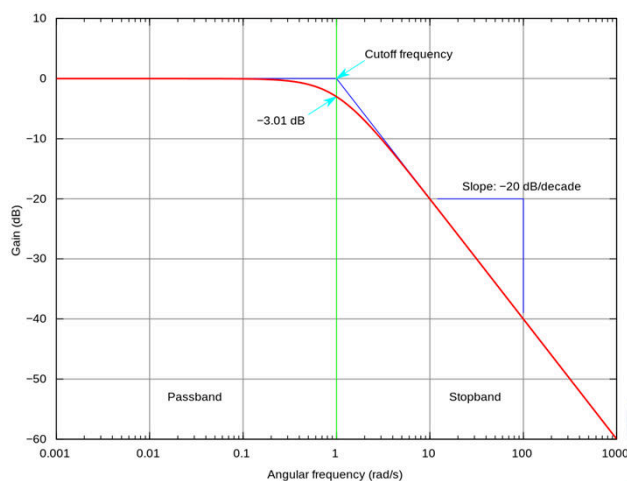


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Controlling Order of Filter

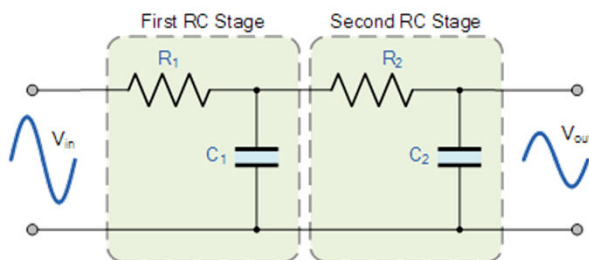


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Multi-Stage Design: Ladder Network



$$H(s) = \left(\frac{1}{1 + s R_1 C_1} \right) \left(\frac{1}{1 + s R_2 C_2} \right) = \frac{1}{(1 + s R_1 C_1)(1 + s R_2 C_2)}$$

$$H(s) = \frac{b_m s^m + b_{m-1} s^{m-1} + b_1 s^1 + b_0}{a_n s^n + a_{n-1} s^{n-1} + a_1 s^1 + a_0} = \frac{B(s)}{A(s)}$$

Ladder Network: LPF Order 1 to 5

$$H_1(s) = \frac{1}{1 + s RC}$$

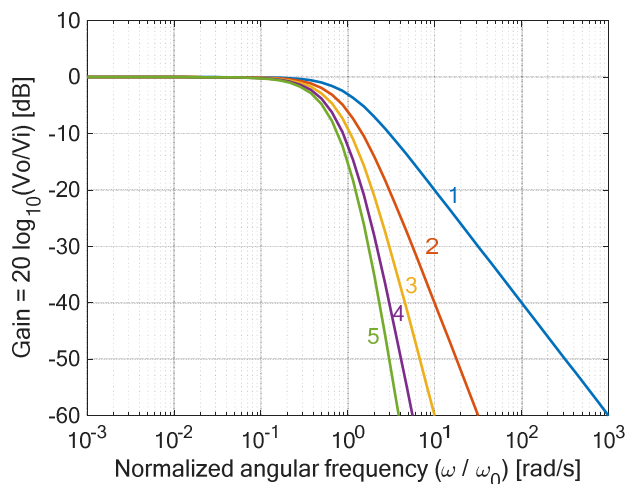
$$H_2(s) = \left(\frac{1}{1 + s RC} \right) \left(\frac{1}{1 + s RC} \right) = \frac{1}{(1 + s RC)(1 + s RC)}$$

$$H_3(s) = \left(\frac{1}{1 + s RC} \right) \left(\frac{1}{1 + s RC} \right) \left(\frac{1}{1 + s RC} \right) = \frac{1}{(1 + s RC)(1 + s RC)(1 + s RC)}$$

$$H_4(s) = \frac{1}{(1 + s RC)(1 + s RC)(1 + s RC)(1 + s RC)}$$

$$H_5(s) = \frac{1}{(1 + s RC)(1 + s RC)(1 + s RC)(1 + s RC)(1 + s RC)}$$

Ladder Network: LPF Order 1 to 5

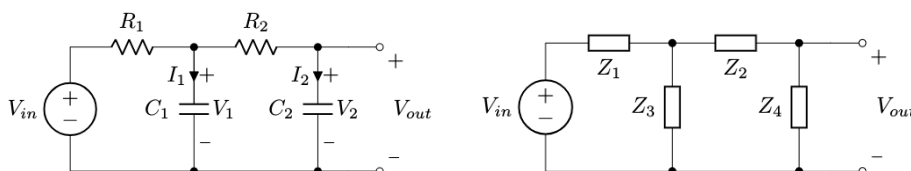


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Just Be More Accurate



$$\frac{V_o}{V_i} = \frac{Z_4}{Z_2 + Z_4} \cdot \frac{Z_{eq}}{Z_{eq} + Z_1}$$

$$Z_{eq}(\omega) = Z_3 || (Z_2 + Z_4)$$

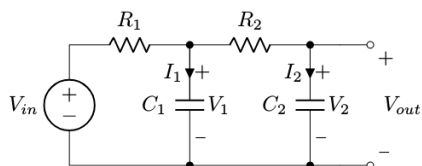
$$\frac{V_o}{V_i} = \frac{Z_3 Z_4}{Z_1 Z_2 + Z_1 Z_3 + Z_1 Z_4 + Z_2 Z_3 + Z_3 Z_4}$$

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Accurate Equation Or Employ Buffer



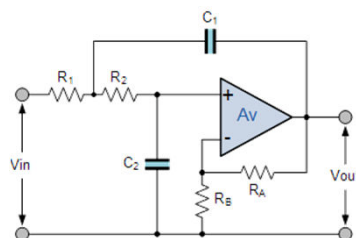
$$\frac{V_o}{V_i} = \frac{Z_3 Z_4}{Z_1 Z_2 + Z_1 Z_3 + Z_1 Z_4 + Z_2 Z_3 + Z_3 Z_4}$$

$$Z_1 = R_1, Z_2 = R_2, Z_3 = \frac{1}{sC_1}, Z_4 = \frac{1}{sC_2}$$

$$H(s) = \frac{V_o}{V_i} = \frac{1}{R_1 R_2 C_1 C_2 s^2 + (R_1 C_1 + R_1 C_2 + R_2 C_2) s + 1}$$



Second Order Active Filter (Sallen-Key Topology)



Gain (A_v) = $1 + \frac{R_A}{R_B}$

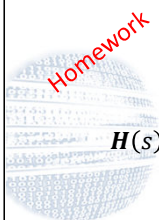
If Resistor and Capacitor values are different:
 $f_c = \frac{1}{2\pi \sqrt{R_1 R_2 C_1 C_2}}$

If Resistor and Capacitor values are the same:
 $f_c = \frac{1}{2\pi RC}$

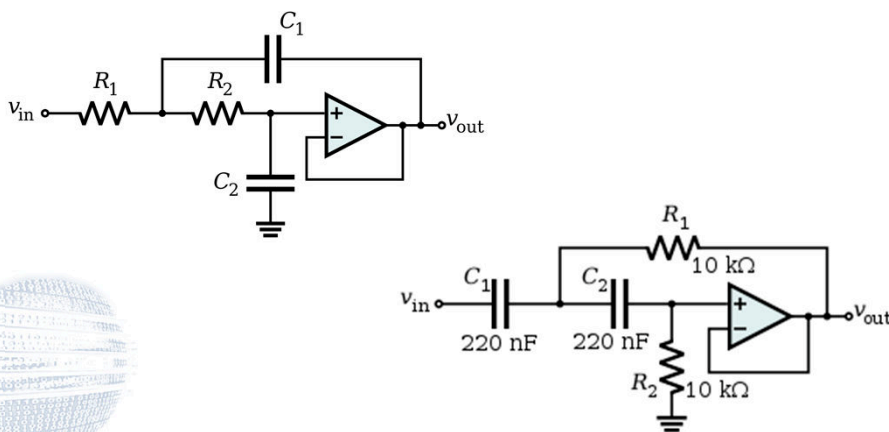
$$Z_1 = R_1, Z_2 = R_2, Z_3 = \frac{1}{sC_1}, Z_4 = \frac{1}{sC_2}$$

$$K = \left(1 + \frac{R_A}{R_B} \right)$$

$$H(s) = \frac{V_o}{V_i} = \frac{K}{(R_1 R_2 C_1 C_2) s^2 + (R_1 C_2 + R_2 C_2 + (R_1 C_1 (1 - K))) s + 1}$$



Notice Sallen–Key Topology Variations (*also* LPF vs. HPF)

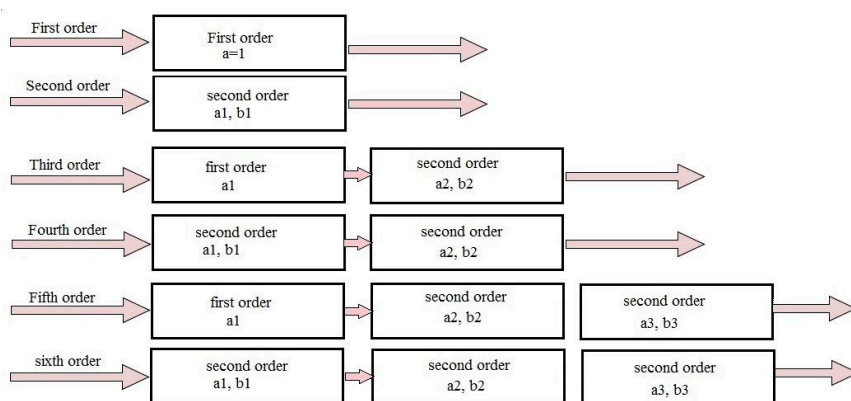


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Blocks: Get Desired Poles & Zeros



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Practical Filter Response Shapes

- **Butterworth** filter: maximally flat amplitude response.
- **Chebyshev Type I** filter: ripple in the passband.
- **Chebyshev Type II** filter: ripple in the stopband.
- **Elliptic** filter: much faster drop with ripple in the passband and stopband.
- **Bessel** filter: maximally flat group delay. Provides good linear phase.
- *And more ...*

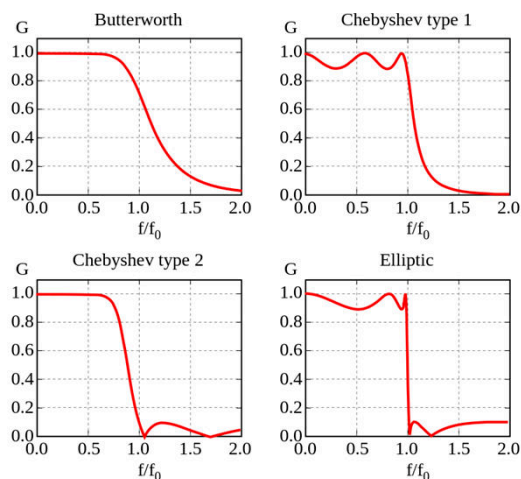


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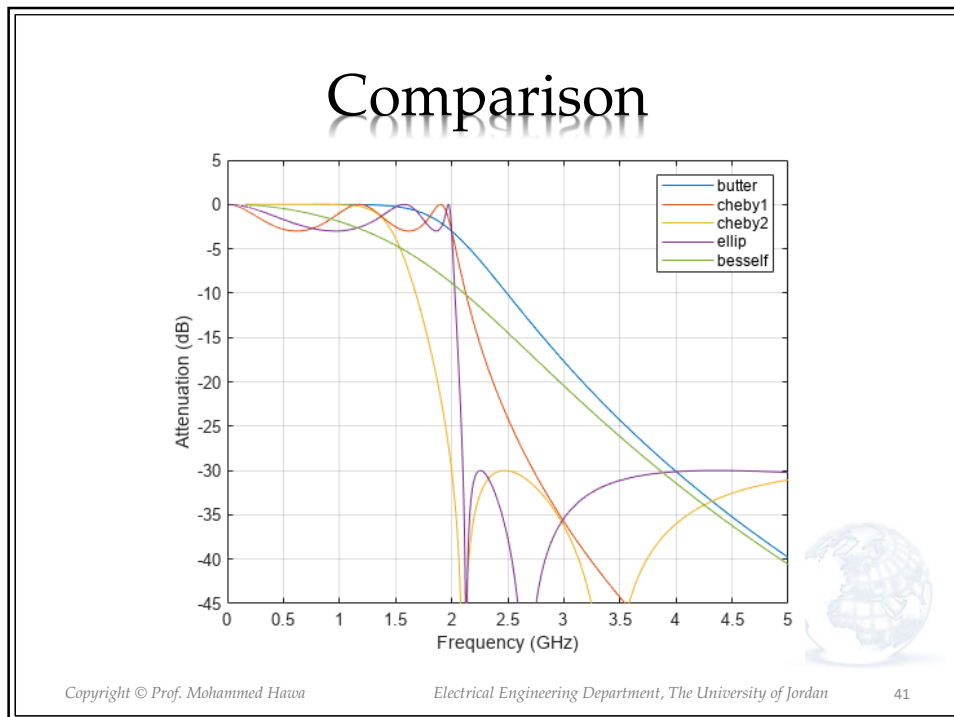
Example LPF Response Shapes



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Filter Design Steps

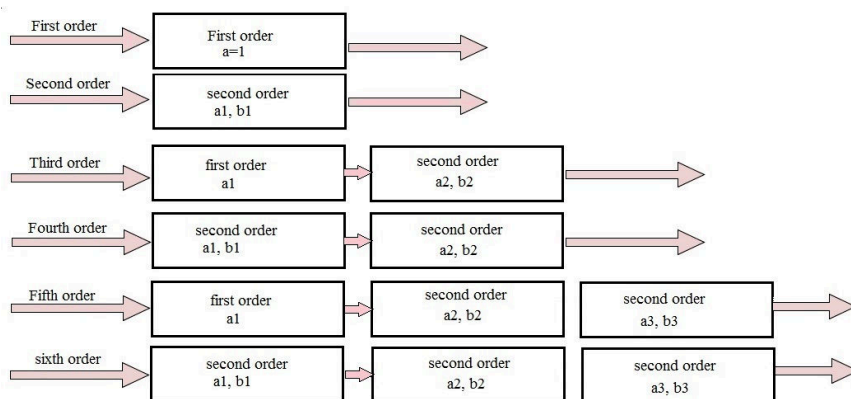
1. Decide the requirements (specifications) desired for the filter.
2. Find the transfer function that satisfies these requirements (i.e., find $B(s)$ and $A(s)$)

$$\mathbf{H}(s) = \frac{b_m s^m + b_{m-1} s^{m-1} + b_1 s^1 + b_0}{a_n s^n + a_{n-1} s^{n-1} + a_1 s^1 + a_0} = \frac{B(s)}{A(s)}$$

3. Find the circuit or multi-stage circuits that have this transfer function.

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Cascading: Based on Poles & Zeros

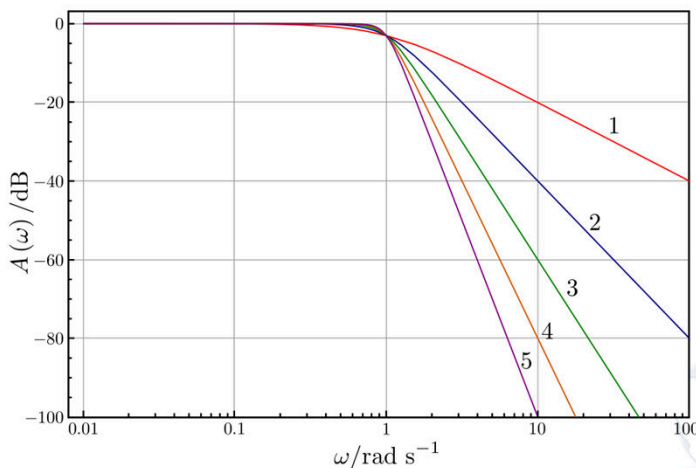


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Butterworth LPF order 1 to 5



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Butterworth $A_n(s)$

n	Butterworth Polynomials $A_n(s)$
1	$(s + 1)$
2	$(s^2 + \sqrt{2}s + 1)$
3	$(s + 1)(s^2 + s + 1) = s^3 + 2s^2 + 2s + 1$
4	$(s^2 + \sqrt{2 - \sqrt{2}}s + 1)(s^2 + \sqrt{2 + \sqrt{2}}s + 1)$
5	$(s + 1)\left(s^2 + \frac{2}{1 + \sqrt{5}}s + 1\right)\left(s^2 + \frac{1 + \sqrt{5}}{2}s + 1\right)$
6	$(s^2 + \sqrt{2 - \sqrt{3}}s + 1)(s^2 + \sqrt{2}s + 1)(s^2 + \sqrt{2 + \sqrt{3}}s + 1)$

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Or $A_n(s) = \sum_{k=0}^n a_k s^k$ Coefficients

Butterworth Coefficients a_k to Four Decimal Places

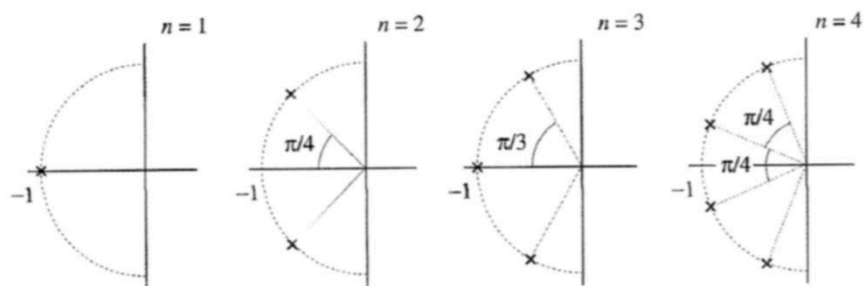
n	a_0	a_1	a_2	a_3	a_4	a_5	a_6	a_7	a_8	a_9	a_{10}
1	1	1									
2	1	1.4142	1								
3	1	2	2	1							
4	1	2.6131	3.4142	2.6131	1						
5	1	3.2361	5.2361	5.2361	3.2361	1					
6	1	3.8637	7.4641	9.1416	7.4641	3.8637	1				
7	1	4.4940	10.0978	14.5918	14.5918	10.0978	4.4940	1			
8	1	5.1258	13.1371	21.8462	25.6884	21.8462	13.1371	5.1258	1		
9	1	5.7588	16.5817	31.1634	41.9864	41.9864	31.1634	16.5817	5.7588	1	
10	1	6.3925	20.4317	42.8021	64.8824	74.2334	64.8824	42.8021	20.4317	6.3925	1

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Poles on s -plane (pole-zero plot) for Butterworth Order 1 to 4

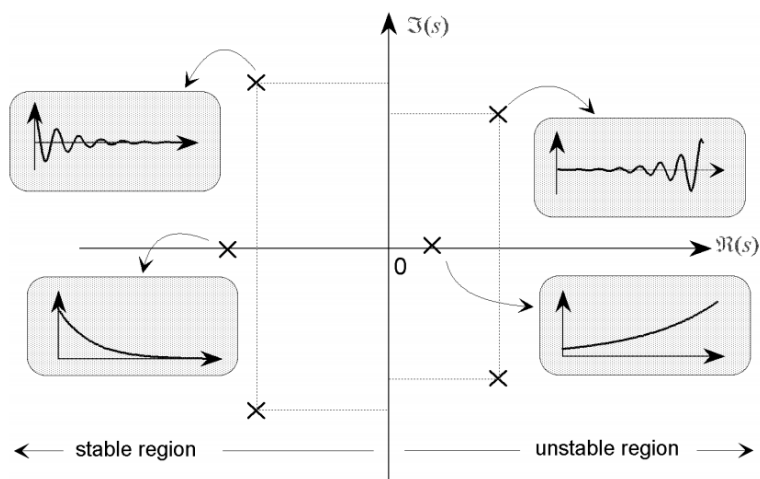


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For stability, we only use poles with negative real parts (left half of s -plane)



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Example: LPF Design

Q. Design a third-order Butterworth LPF with $B = 340$ Hz

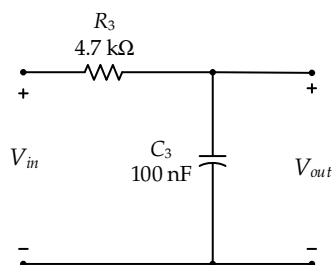
$$H(s) = \frac{1}{s^3 + 2s^2 + 2s + 1} = \frac{1}{(s^2 + s + 1)(s + 1)}$$

$$H(s) = \frac{1}{(\tilde{s}^2 + \tilde{s} + 1)} \cdot \frac{1}{(\tilde{s} + 1)}$$

$$H(s) = \frac{1}{\left(\left(\frac{s}{\omega_0}\right)^2 + \left(\frac{s}{\omega_0}\right) + 1\right)} \cdot \frac{1}{\left(\left(\frac{s}{\omega_0}\right) + 1\right)}$$



Second Stage: First-Order Passive LPF

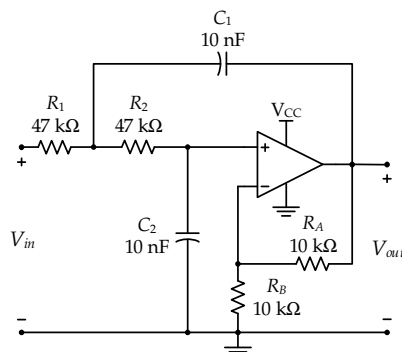


$$H(s) = \frac{V_o}{V_i} = \frac{1}{RCs + 1} = \frac{1}{\left(\frac{s}{\frac{1}{RC}}\right) + 1}$$

$$f_0 = \frac{1}{2\pi RC} = 338.6275 \text{ Hz}$$



First Stage: Second-Order Active LPF



$$H(s) = \frac{V_o}{V_i} = \frac{K}{(R_1 R_2 C_1 C_2) s^2 + (R_1 C_2 + R_2 C_2 + (R_1 C_1 (1 - K))) s + 1}$$

$$H(s) = \frac{V_o}{V_i} = \frac{K}{\left(\frac{s}{1}\right)^2 + (3 - K) \left(\frac{s}{1}\right) + 1}$$

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Calculations

$$3 - K = 1$$

$$K = 2 = \left(1 + \frac{R_A}{R_B}\right)$$

$$R_A = R_B$$

$$f_0 = \frac{1}{2\pi RC} = \frac{1}{2\pi \times 47 \times 10^3 \times 10 \times 10^{-9}}$$

$$f_0 = 338.6275 \text{ Hz}$$

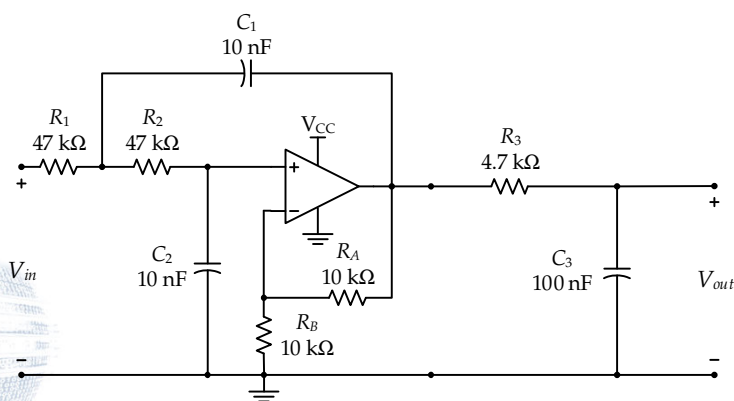
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Final Solution: Two Stages

Q. Why active filter is first stage, not second stage?



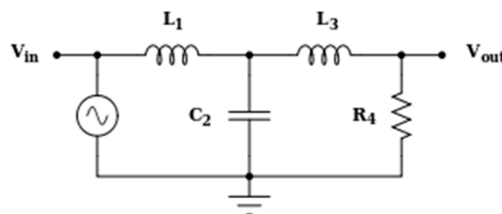
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Homework

- In a ladder network (also called Cauer topology), we can use L in addition to R and C components, if needed.



- Determine the transfer function $H(s) = V_o/V_i$ for the above circuit.
- Show that it is a third-order Butterworth LPF.
- Find the cutoff frequency for $L_1 = 1.5$ H, $C_2 = 1.3333$ F, $L_3 = 0.5$ H, and $R_4 = 1$ Ω . *Solution:* $\omega_0 = 1$ rad/s.

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Chebyshev Type I Filter

- The gain response $G_n(\omega)$, or the magnitude of the transfer function $H_n(s)$ evaluated at $s = j\omega$, of the n th-order Chebyshev Type I LPF is:

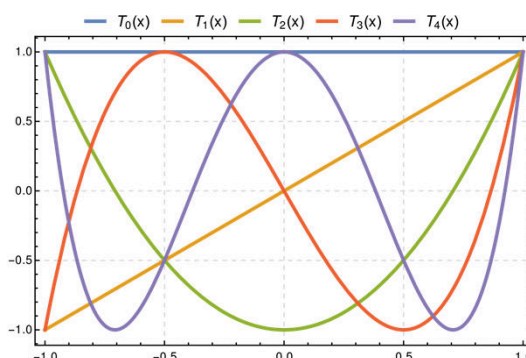
$$G_n(\omega) = |H_n(j\omega)| = \frac{1}{\sqrt{1 + \varepsilon^2 T_n^2(\omega/\omega_0)}}$$

- ε is the ripple factor.
- ω_0 is the cutoff frequency.
- $T_n(x)$ is the Chebyshev polynomial of the first kind and n th order.

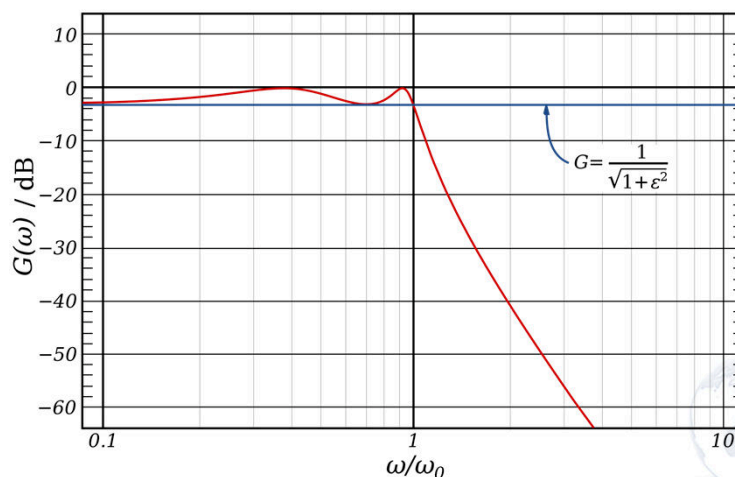


Chebyshev Polynomial

- Chebyshev polynomial of the first kind and n th order satisfies the condition $T_n(\cos \theta) = \cos(n\theta)$



Chebyshev Type I LPF



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Chebyshev Type I Poles

- The transfer function of Chebyshev Type I is:

$$H(s) = \frac{1}{2^{n-1}\epsilon} \prod_{m=1}^n \frac{1}{(s - s_{pm}^-)}$$

- The s_{pm}^- poles are in the left part of the s -domain:

$$\begin{aligned} s_{pm}^- &= -\sinh\left(\frac{1}{n} \sinh^{-1}\left(\frac{1}{\epsilon}\right)\right) \sin\left(\frac{\pi}{2} \frac{2m-1}{n}\right) \\ &+ j \cosh\left(\frac{1}{n} \sinh^{-1}\left(\frac{1}{\epsilon}\right)\right) \cos\left(\frac{\pi}{2} \frac{2m-1}{n}\right) \end{aligned}$$

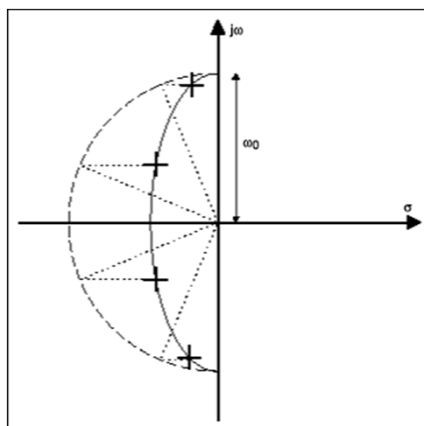
- where $m = 1, 2, \dots, n$.

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Chebyshev Type I Poles (Order 4)



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MATLAB Can Calculate Poles

cheby1

Chebyshev Type I filter design

R2024a

[collapse all in page](#)

Syntax

```
[b,a] = cheby1(n,Rp,Wp)
[b,a] = cheby1(n,Rp,Wp,fstype)
```

```
[z,p,k] = cheby1(___)
[A,B,C,D] = cheby1(___)

```

```
[_] = cheby1(__,'s')
```

Description

`[b,a] = cheby1(n,Rp,Wp)` returns the transfer function coefficients of an n -th-order lowpass digital Chebyshev Type I filter with normalized passband edge frequency Wp and Rp decibels of peak-to-peak passband ripple. [example](#)

`[b,a] = cheby1(n,Rp,Wp,fstype)` designs a lowpass, highpass, bandpass, or bandstop Chebyshev Type I filter, depending on the value of $fstype$ and the number of elements of Wp . The resulting bandpass and bandstop designs are of order $2n$. [example](#)

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Chebyshev Type II Filter (Inverse Chebyshev Filter)

$$|H_n(j\omega)| = \frac{1}{\sqrt{1 + \frac{1}{\varepsilon^2 T_n^2(\omega_0/\omega)}}} = \frac{\varepsilon^2 T_n^2(\omega_0/\omega)}{\sqrt{1 + \varepsilon^2 T_n^2(\omega_0/\omega)}}$$

$$\frac{1}{s_{pm}^-}$$

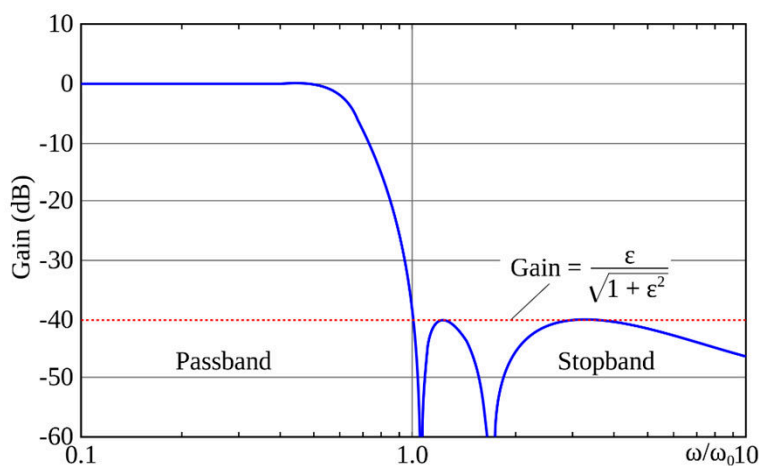
$$= -\sinh\left(\frac{1}{n} \sinh^{-1}\left(\frac{1}{\varepsilon}\right)\right) \sin\left(\frac{\pi}{2} \frac{2m-1}{n}\right)$$

$$+ j \cosh\left(\frac{1}{n} \sinh^{-1}\left(\frac{1}{\varepsilon}\right)\right) \cos\left(\frac{\pi}{2} \frac{2m-1}{n}\right)$$

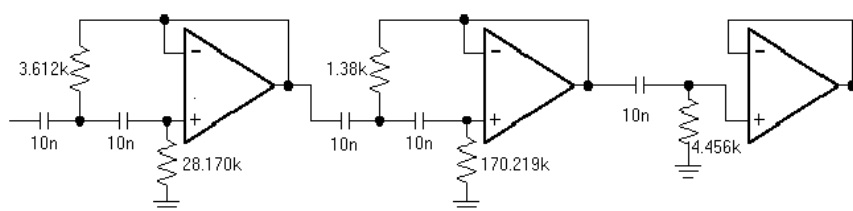
- Less popular than Type I.



Chebyshev Type II Gain



Ex: 5th Order Chebyshev HPF

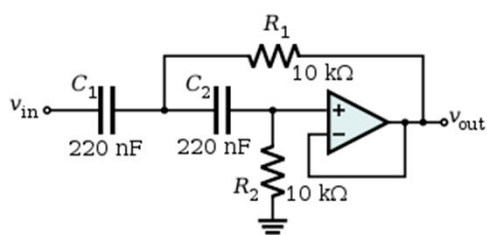


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Homework: Unity-Gain Sallen-Key Active HPF



$$H(s) = \frac{V_o}{V_i} = \frac{s^2}{s^2 + \left(\frac{C_1 + C_2}{R_2 C_1 C_2}\right) s + \frac{1}{R_1 R_2 C_1 C_2}}$$

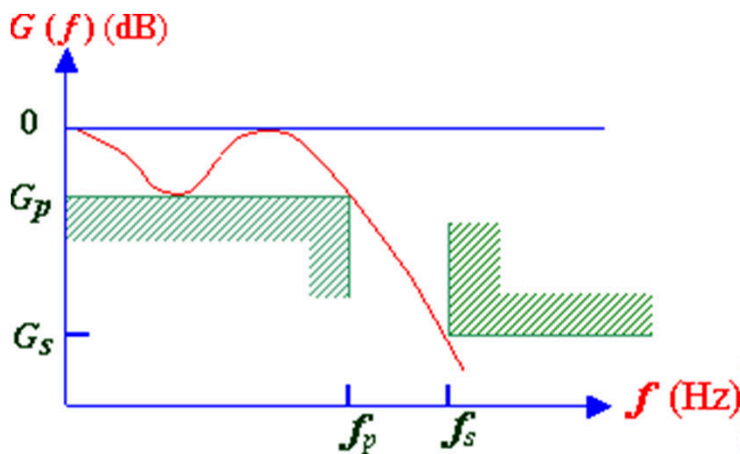
$$H(s) = \frac{V_o}{V_i} = \frac{(R_1 R_2 C_1 C_2) s^2}{(R_1 R_2 C_1 C_2) s^2 + (R_1 C_1 + R_1 C_2) s + 1}$$

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Filter Specification Diagram



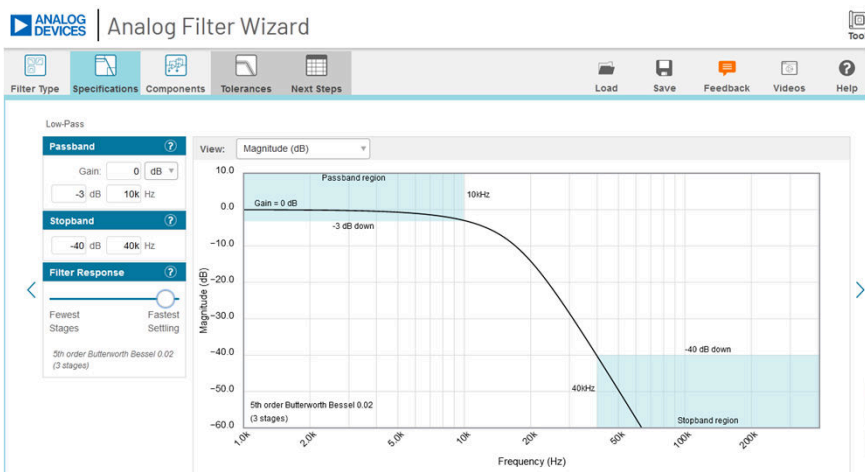
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Analog Devices Filter Design Tool

<https://tools.analog.com/en/filterwizard/>



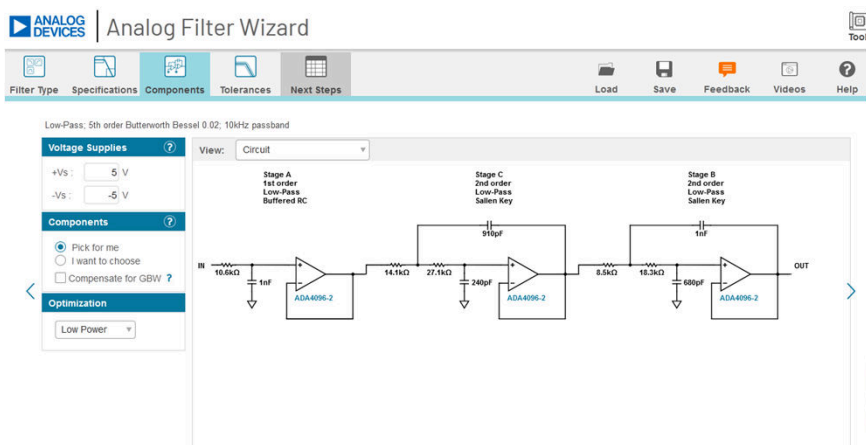
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Analog Devices Filter Design Tool

<https://tools.analog.com/en/filterwizard/>

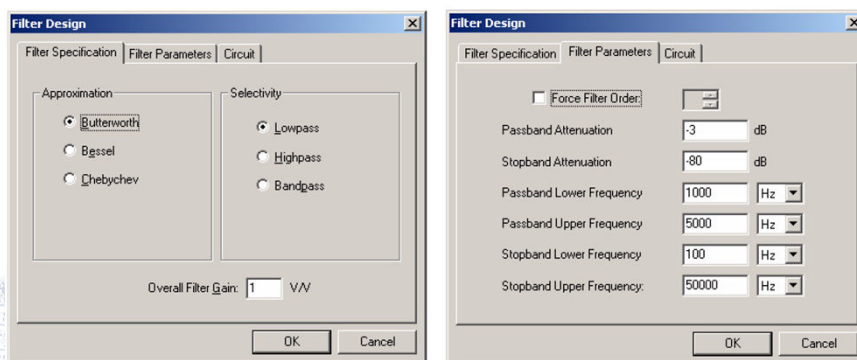


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Microchip FilterLab

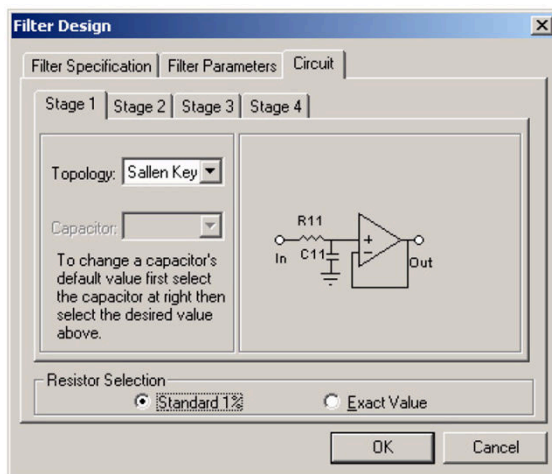


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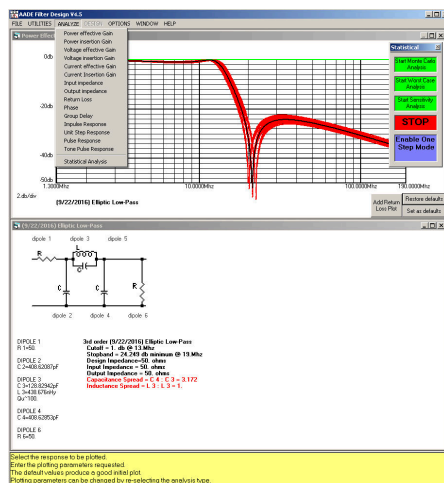
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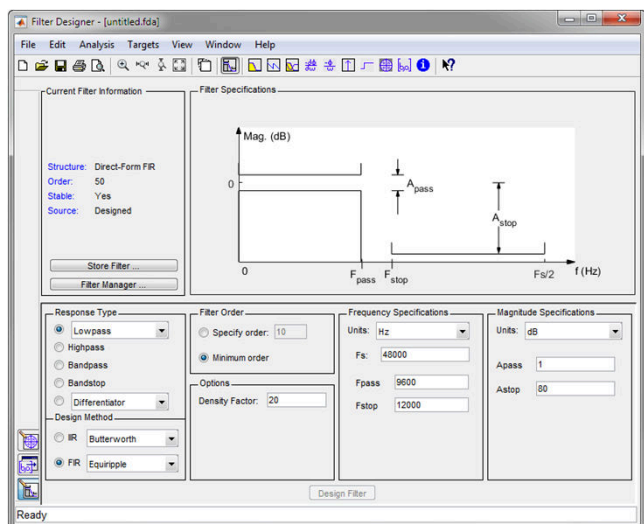
Microchip FilterLab



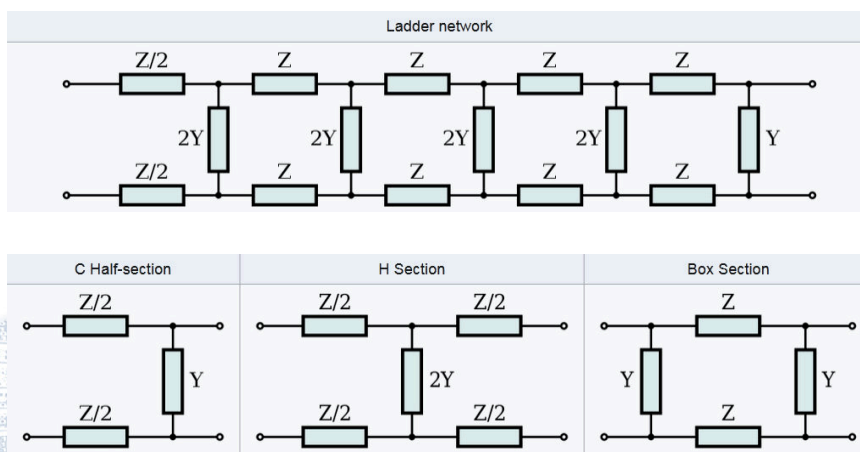
AADE Filter Design



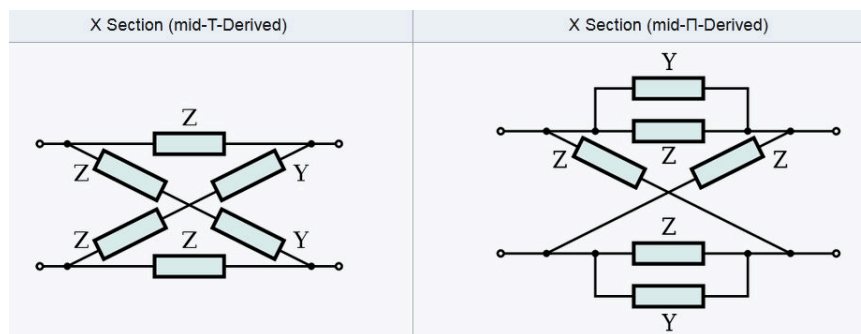
MATLAB Filter Designer



Many Filter Topologies



Passive ...



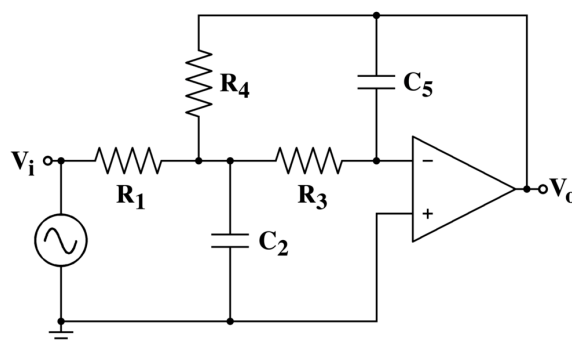
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... and Active

- Multiple feedback topology (MFB) (active filter).



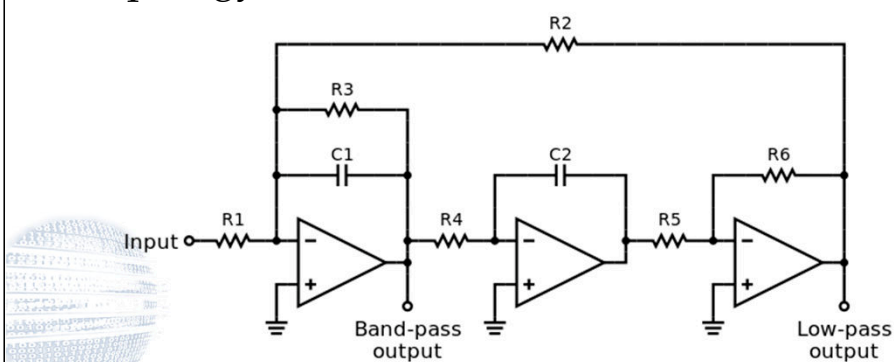
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ICs Allow More Op-Amps

- Common Tow-Thomas **biquad** filter topology.



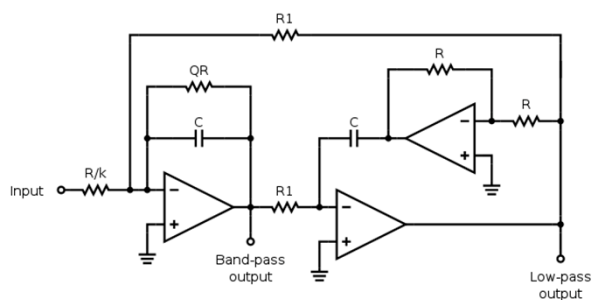
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Filters in ICs

- Akerberg-Mossberg **biquad** filter topology.



- Switched-capacitor IC-based analog filters.
- Mechanical filters, etc.

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DSP: FIR (finite impulse response)

$$H(z) = \frac{B(z)}{A(z)} = \frac{b_0 + b_1 z^{-1} + b_2 z^{-2} + \dots + b_N z^{-N}}{1 + a_1 z^{-1} + a_2 z^{-2} + \dots + a_M z^{-M}}$$

